## Order of Documents in Completed RPT file [online RPT process] 2020

- 1. Summary form [Reappointment, Promotion/Tenure Recommendations Form—Summary of Recommendations] *signed and dated at each review level*]
- 2. Recommendation of the Head
- 3. Recommendation of the Departmental Personnel Committee
- 4. Recommendation of the CAS RPT Committee
- 5. Recommendation of the Dean
- 6. Recommendation of the Provost/VPAA
- 7. Letters of rebuttal from Candidate (space for 4, if needed), *only in cases where one or several recommendations are negative*.
- 8. "Development of the RPT Document File" form pages 1-3, with faculty member's signature on page 3 indicating that the file is complete.
- 9. Initial appointment documents including position description (*required only for those who have not yet been awarded tenure*).
- 10.A statement describing the work assignment within the University (teaching, research, extension, service, administration, and/or advisement) during the time period considered for this personnel action. A summary of percentages for each category of activity should be included.
- 11. Annual appraisal and development documents (*all of these should be signed*) prepared during the period considered for this personnel action.
- 12. Written statements, if any, documenting either special achievements or deficiencies related to the personnel action under consideration. *As applicable*
- 13. Records of sabbatical or other periods of leave (not to include annual leave).
- 14. Copies of applicable departmental policies and procedures for reappointment, promotion, and/or tenure decisions. Major revisions of the above which have occurred during the tenure of the faculty member and which may influence this personnel action must be indicated.
- 15. Current vita of candidate
- 16. Self-assessment statements from candidate
- 17. All letters (numbered) received from peer reviewers (for tenure and promotion cases). *Minimum of 3, generally around 5. Should not be open to the candidate*
- 18. Copy of the form letter sent to the external reviewer about the RPT action.
- 19.A copy of external reviewer's CV or a brief description of each reviewer, their credentials, and their relationship (if any) to the candidate.
- 20. A copy of the signed waiver regarding access to letters from external reviewers.
- 21. A copy of the signed waiver regarding access to internal letters.
- 22. Evidence in support of candidate's teaching abilities (supplied by candidate)
- 23. Evidence in support of the candidate's accomplishments in research (supplied by candidate).
- 24. Evidence in support of the candidate's service activities and/or Outreach. (supplied by candidate).

- 25. Signed EA form.
- 26. Draft letter of non-reappointment from the Department Head (*only necessary in cases in which the candidate receives a negative recommendation from the DH or the Departmental RPT Committee.*)
- 27.Draft letter of non-reappointment from the Dean (only necessary in tenure and reappointment cases when the Dean's recommendation is for non-reappointment)